

Subt. For, PTO-1449				Docket Number 106586.154 US2		Application Number 10/731,547	
INFORMATION DISCLOSURE IN AN APPLICATION (Use several sheets if necessary)				Applicant Andrzej Chanduszek et al.			
				Filing Date 12/09/2003		Group Art Unit 3731	
Sheet	1	OF	5				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
NR	3,874,388	04/01/1975	King et al.	128	334	
	3,875,648	04/08/1975	Bone	29	417	
	4,006,747	02/08/1977	Kronenthal et al.	128	335	
	4,007,743	02/15/1997	Blake	128	334	
	4,425,908	01/17/84	Simon	128	1	
	4,696,300	09/29/1987	Anderson	128	334	
	4,836,204	06/06/1989	Landymore et al.	128	334	
	4,915,107	04/10/1990	Rebuffat et al.	606	144	
	5,021,059	06/04/1991	Kensey, et al.	606	213	
	5,037,433	08/06/1991	Wilk et al.	606	139	
	5,041,129	08/20/1991	Hayhurst et al.	606	232	
	5,108,420	04/28/1992	Marks	606	213	
	5,192,301	03/09/1993	Kamiya et al.	606	213	
	5,222,974	06/29/1993	Kensey, et al.	606	213	
	5,236,440	08/17/1993	Hlavacek	606	219	
	5,257,637	11/02/1993	El Gazayerli	128	898	
	5,282,827	02/01/1994	Kensey et al.	606	215	
	5,284,488	02/08/1994	Sideris	606	213	
	5,304,184	04/19/1994	Hathaway et al.	606	144	
	5,312,435	05/17/1994	Nash, et al.	606	213	
	5,334,217	08/02/1994	Das	606	213	
	5,354,308	10/11/1994	Simon, et al.	606	198	
	5,411,481	05/02/1995	Allen et al.	606	144	
	5,413,584	05/09/1995	Schulze	606	219	
	5,417,699	05/23/1995	Klein et al.	606	144	
	5,425,744	06/20/1995	Fagan et al.	606	213	
	5,433,727	07/18/1995	Sideris	606	213	
	5,451,235	09/19/1995	Lock et al.	606	213	

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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
<i>VR</i>	5,478,353	12/26/1995	Yoon	606	213	
	5,507,811	04/16/1996	Koike et al.	623	11	
	5,540,712	07/30/1996	Kleshinski et al.	606	198	
	5,601,571	02/11/1997	Moss	606	139	
	5,618,311	04/08/1997	Gryskiewicz	606	216	
	5,634,936	06/03/1997	Linden et al.	606	213	
	5,683,411	11/04/1997	Kavteladze et al.	606	200	
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	5,797,960	08/25/1998	Stevens et al.	606	213	
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<i>Y</i>	5,989,268	11/23/1999	Pugsley, Jr. et al.	606	144	

EXAMINER <i>Natalia Fox</i>	DATE CONSIDERED <i>5/25/06</i>
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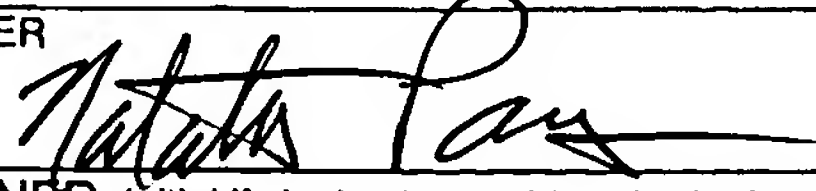
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	6,024,756	02/15/2000	Huebsch et al.	606	213	
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	6,290,674	09/18/2001	Roue et al.	604	107	
	6,306,150	10/23/2001	Levinson	606	158	
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EXAMINER <i>Natalie</i>	DATE CONSIDERED <i>8/25/04</i>
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NLP	6,344,049	02/05/2002	Levinson et al.	606	200	
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	2001/0044639	06/15/2001	Levinson	606	228	
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	2002/0099389	03/21/2002	Michler et al.	606	139	
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	2003/0059640	03/27/2003	Marton et al.	428	544	

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Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
NPL	WO 00/27292	05/18/2000	PCT	A61B	17/08		

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NPL	A1	Nat'l Aeronautics and Space Administration, "55-Nitinol – The Alloy with a Memory: Its Physical Metallurgy, Properties, and Applications," A Report, pgs. 24-25
J	A2	Kimura, A., et al., "Effects of Neutron Irradiation on the Transformation Behavior in Ti-Ni Alloys," Abstract, Proceedings of the Int'l Conf. On Martensitic Transformations (1992) pgs. 935-940
J	A3	Ramanathan, G., et al., "Experimental and Computational Methods for Shape Memory Alloys," 15 th ASCE Engineering Mechanics Conf., June 2-5, 2003
J	A4	Shabalovskaya, S., "Surface, corrosion and biocompatibility aspects of Nitinol as an implant material," Bio-Medical materials and Engineering, (2002) Vol. 12, pgs. 69-109
J	A5	Uchil, J. "Shape Memory Alloys – Characterization Techniques," Pramana – Journal of Physics, (2002) Vol. 58, Nos. 5 & 6, pgs. 1131-1139

EXAMINER <i>Natalia Lou</i>	DATE CONSIDERED 8/25/06
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Substitute for form 1449/PTO

Complete if Known

Application Number	10/731,547
Filing Date	December 9, 2003
First Named Inventor	Chanduszko et al.
Art Unit	3731
Examiner Name	TBA
Attorney Docket Number	106586.154US2

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (if known)}			
NBS		US-4,710,192	12/01/1987	Liotta et al.	
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✓	US-6,152,144	11/28/2000	Lesh et al.	
✓	US-6,187,039 B1	02/13/2001	Hiles et al.	
✓	US-6,231,561	05/15/2001	Frazier et al.	
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✓	US-2002/0035374	03/21/2002	Borillo et al.	
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✓	US-2002/0077555	06/20/2002	Schwartz	
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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
NRP		EP 1 046 375	10/25/2000	Nissho Corporation	
↓		EP 1 222 897	07/17/2002	Nicrovena Corporation	
↓		WO 02/24106	03/28/2002	Aritech, Inc.	
↓		WO 03/077733	09/25/2003	EV3 Sunnyvale, Inc.	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

APR 04 2005

Sheet

4

of

4

Complete if Known

Application Number	10/731,547
Filing Date	December 9, 2003
First Named Inventor	Chanduszko et al.
Art Unit	3731
Examiner Name	TBA
Attorney Docket Number	106586.154US2

NRP	WO 96/25179	08/22/1996	Purdue Research Foundation
↓	WO 96/31157	10/10/1996	Organogenesis, Inc.
↓	WO 00/44428	08/03/2000	Adam Spense Europe Limited

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NRP	A1	Stöckel, "Nitinol Medical Devices and Implants," SMST-2000 Conference Proceedings, 2001, pgs 531-541	
↓	A2	SMST-2000, "Proceedings of the International Conference on Shape Memory and Superelastic Technologies," 30 April to 4 May 2000, Asilomar Conference Center.	
↓	A3	Ruiz et al. "The Puncture Technique: A New Method for Transcatheter Closure of Patent Foramen Ovale," Catheterization and Cardiovascular Interventions 53, Wiley-Liss, Inc., 2001, pages 369-372.	
↓	A4	International Search Report, International Application No. PCT/US03/17390, mailed on October 6, 2003, 4 pgs.	

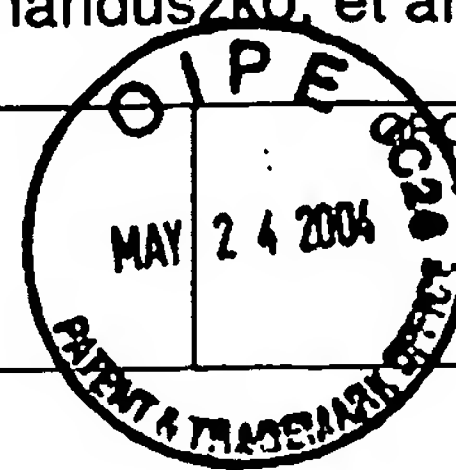
Examiner Signature	<i>Tatiana Pau</i>	Date Considered	8/25/06
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HALE AND DORR LLP DRAFT OF MARCH 19, 2004 SUBT. FOR, PTO-1449 INFORMATION DISCLOSURE IN AN APPLICATION (USE SEVERAL SHEETS IF NECESSARY)		DOCKET NUMBER 106586.154US2	APPLICATION NUMBER 10/731,547
		APPLICANT Chanduszko, et al.	
		FILING DATE 12/09/03	GROUP ART UNIT 3731
SHEET	1	OF	1



U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NRP ↓	5,944,738	08/31/99	Amplatz et al.	606	213	
	6,117,159	09/12/00	Huebsch et al.	606	213	
	6,355,052	03/12/02	Neuss et al.	606	212	
	6,488,706	12/03/02	Solymar	623	3.1	
	2002/0129819	01/19/02	Feldman et al	128	831	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
NRP NRP	01/49185	07/12/01	WO	A61B	17/00		
	1 013 227	06/28/00	EP	A61B	17/00		

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
	A1	
	A2	
	A3	

EXAMINER 	DATE CONSIDERED 5/25/06
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	